

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Hiromichi ISHIBASHI et al. :  
Serial No. NEW : **Attn: APPLICATION BRANCH**  
Filed April 1, 2004 : Attorney Docket No. 2004\_0527

APPARATUS AND METHOD FOR  
REPRODUCING INFORMATION FROM  
TWO TYPES OF OPTICAL DISKS  
HAVING DISCRIMINATION MARKS  
FORMED ALONG TRACKS THEREOF  
**(Rule 1.53(b) Divisional  
of Serial No. 09/610,364,  
Filed July 5, 2000)**

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. 09/610,364.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page ,
  - d. ☒ the concise explanation set forth in an attached English language abstract,
  - e. ☒ the concise explanation set forth below or on a separate sheet attached to the reference:

U.S. 6,055,076 corresponds to Japanese Patent No. 10-2-8276 (cited on pages 3 of the specification)

5. ☐ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiromichi ISHIBASHI et al.

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April 1, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: April 1, 2004

ATTY DOCKET NO.  
2004\_0527SERIAL NO.  
NEWAPPLICANT  
Hiromichi ISHIBASHI et al.FILING DATE  
April 1, 2004GROUP  
2652

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,055,076	4/2000	Takahashi et al.			
	AB	5,544,141	8/1996	Kawasaki			
	AC	5,802,029	9/1998	Zucker			
	AD	5,729,514	3/1998	Horigome et al.			
	AE	5,410,531	4/1995	Tsujioka			
	AF	5,235,583	8/1993	Jongenelis et al.			
	AG	5,708,636	1/1998	Takahashi et al.			
	AH	5,946,285	8/1999	Nakane et al.			
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	7-176052	7/1995	JP			Abstract	
	AK	9-185833	7/1997	JP			Abstract	
	AL	8-102079	4/1996	JP			Abstract	
	AM							
	AN							

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.